10599482 - GAU: 1793

IFW

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	Docket No: Q97568
Kazumasa SHINTANI, et al.	
Appln. No.: 10/599,482	Group Art Unit: Not Yet Assigned
Confirmation No.: 9619	Examiner: Not Yet Assigned

Filed: September 29, 2006

For: PROCESS FOR PRODUCING ALLOY SLAB FOR RARE-EARTH SINTERED MAGNET, ALLOY SLAB FOR RARE-EARTH SINTERED MAGNET AND RARE-EARTH SINTERED MAGNET

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

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INFORMATION DISCLOSURE STATEMENT
U.S. Appln. No.: 10/599,482

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

     The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

     The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any
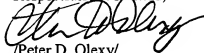
overpayments to said Deposit Account.

Respectfully submitted,

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WASHINGTON OFFICE
23373
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Date: October 4, 2006

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OCT 0 4 2006

Substitute for Form 1449 A & B/PTO				*Complete if Known*	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT *(use as many sheets as necessary)*				Application Number	10/599,482
				Confirmation Number	9619
				Filing Date	September 29, 2006
				First Named Inventor	Kazumasa SHINTANI
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	Q97568

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code[2] (if known)		
		US 6,554,913	B2	04-29-2003	Arai et al
		US			
		US			
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		US			
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation[6]
		Country Code[3]	Number[4]	Kind Code[5] (if known)			
		EP	0 801 402	B1	10-15-1997	Showa Denko KK	Abstract
		JP	09-170055	A	06-30-1997	Showa Denko KK	Abstract
		JP	05-222488	A	08-31-1993	Santoku Kinzoku Kogyo KK	Abstract
		JP	08-269643	A	10-15-1996	Sumitomo Special Metals Co., Ltd.	Abstract
		JP	10-036949	A	10-02-1998	Showa Denko KK	Abstract
		JP	2002-050507	A	02-15-2002	Seiko Epson Corp	Abstract
		JP	2004-043921	A	02-12-2004	Showa Denko KK	Abstract
		JP	05-269549	A	10-19-1993	TDK Corp	Abstract

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation[6]

Examiner Signature	/John Sheehan/	Date Considered	06/14/2009

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1] Applicant's unique citation designation number (optional). [2] See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). [4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. [6] Applicant is to indicate if English language Translation is attached.

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